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DEP & REF  
ROOM #307  
12/15/04

Attorney Docket No. 0756-7230



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DEC 02 -7 11 11

In re Patent Application of: ) Group Art Unit:

Kiyoshi KATO ) Examiner:

Serial No. 10/735,717 ) CERTIFICATE OF MAILING

Filed: December 16, 2003 ) I hereby certify that this correspondence is  
being deposited with the United States Postal  
Service with sufficient postage as First Class

For: SEMICONDUCTOR MEMORY ) Mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450, on

ELEMENT, SEMICONDUCTOR ) 12-1-04

MEMORY DEVICE AND METHOD ) Richard M. Stamps

OF FABRICATING THE SAME )

REQUEST FOR REFUND

Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant respectfully requests a refund in connection with the above-identified patent application.

Applicant submitted a Response to Notice to File Missing Parts of Nonprovisional Application on August 27, 2004 with Check No. 3463 in the amount of \$1,832.00 (a copy of the canceled check is enclosed). On November 8, 2004, Deposit Account 50-2280 was charged \$130.00 for a Non-English Specification. However, when the Response to Notice to File Missing Parts of Nonprovisional Application was filed on August 27, 2004, the fee of \$130.00 for a Non-English Specification was paid. The breakdown of the fees paid is: \$992 Additional Claims; \$290 Multiple Dependent Claims; \$420 Two-Month Extension; **\$130 Non-English Specification.**

It is respectfully requested that the payment for the Non-English Specification in the amount of \$130.00 be credited to Deposit Account No. 50-2280 (0756-7230).


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- 2 -

Application Serial No. 10/735,717  
Attorney Docket No. 0756-7230


Should any additional information be needed, please do not hesitate to contact Applicants' undersigned representative at the number listed below.

Respectfully submitted,

  
\_\_\_\_\_  
Eric J. Robinson  
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.  
PMB 955  
21010 Southbank Street  
Potomac Falls, Virginia 20165  
(571) 434-6789

19270 U.S. PTO  
 10/735717  
 121603

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No. 0756-7230	
		First Inventor Kiyoshi KATO	
		Title SEMICONDUCTOR MEMORY ELEMENT, SEMICONDUCTOR MEMORY DEVICE AND METHOD OF FABRICATING THE SAME	
		Express Mail Label No.	
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification (Total Pages 24) (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications (if applicable) - Statement Regarding Fed sponsored R & D (if applicable) - Reference to sequence listing, a table, or a computer program listing appendix (if applicable) - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 15] 5. Oath or Declaration [Total Pages 4] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
<b>ACCOMPANYING APPLICATION PARTS</b> 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 2002-366600 filed 12/18/2002 16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other:			
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner Group / Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
<b>19. CORRESPONDENCE ADDRESS</b> <input checked="" type="checkbox"/> Customer Number or Bar Code Label 31780 Or <input type="checkbox"/> Correspondence address below			
Name Eric J. Robinson Address Robinson Intellectual Property Law Office, P.C. PMB 955 21010 Southbank Street City Potomac Falls State Virginia Zip Code 20165 Country USA Telephone 571-434-6789 Fax 571-434-9499			
Name (Print/Type) Eric J. Robinson		Registration No. (Attorney/Agent) 38,285	
Signature 		Date December 16, 2003	

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ROOM #307  
OFFICE



Attorney Docket No. 0756-7230

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DEC -7 11

In re Patent Application of:

Kiyoshi KATO

Serial No. 10/735,717

Filed: December 16, 2003

For: SEMICONDUCTOR MEMORY

ELEMENT, SEMICONDUCTOR

MEMORY DEVICE AND METHOD

OF FABRICATING THE SAME

) Group Art Unit:

) Examiner:

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12-1-04  
*Richard M. Stanger*

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Alexandria, VA 22313-1450

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- 2 -

Application Serial No. 10/735,717  
Attorney Docket No. 0756-7230

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Respectfully submitted,



---

Eric J. Robinson  
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.  
PMB 955  
21010 Southbank Street  
Potomac Falls, Virginia 20165  
(571) 434-6789

Deposit Account Statement

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**Deposit Account Statement**

**Requested Statement Month:** November 2004  
**Deposit Account Number:** 502280  
**Name:** ROBINSON INTELLECTUAL PROPERTY LAW OFFICE  
**Attention:** ROSE M FICHTEL  
**Address:** PMB 955  
**City:** POTOMAC FALLS  
**State:** VA  
**Zip:** 20165

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/05	100	09462075	0670-221	1806	-\$180.00	\$3,168.00
11/08	22	10735717	0756-7230	1053	\$130.00	\$3,038.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$2,988.00	\$130.00	\$180.00	\$3,038.00

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PATENT AND TRADEMARK OFFICE  
13-10-0001  
09-01-2004  
FOR CREDIT TO THE  
U.S. TREASURY

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MEMO 0756-7230, SN 10/735,717

⑈003463⑈ ⑆055002707⑆ 10000002581295⑈ ⑆0000183200⑈

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One Thousand Eight Hundred Thirty-Two and 00/100\*\*\*\*\*

\$1,832.00

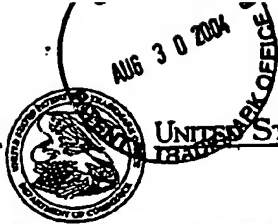
DOLLARS. ⑆

**RIPLO**  
ROBINSON INTELLECTUAL  
PROPERTY LAW OFFICE, P.C.  
PMB 855 21010 SOUTH BANK STREET  
POTOMAC FALLS, VA 20166  
(571) 434-6769

SUNTRUST BANK  
PO BOX 85024  
RICHMOND, VA 23285  
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www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/735,717	12/16/2003	Kiyoshi Kato	0756-7230

CONFIRMATION NO. 5660

FORMALITIES LETTER



\*OC000000012458334\*

31780  
ERIC ROBINSON  
PMB 955  
21010 SOUTHBANK ST.  
POTOMAC FALLS, VA 20165

Date Mailed: 04/27/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 1-15.

### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

130.00 OP  
344.00 OP  
648.00 OP  
290.00

01 FC:1051  
02 FC:1201  
03 FC:1202  
04 FC:1203

01/11/2005 YPOLITE1 00000001 10735717

01 FC:1053

130.00 OP

Adjustment date: 01/11/2005 YPOLITE1  
09/01/2004 WABDELRI 00000001 10735717  
01 FC:1051 -130.00 OP

Adjustment date: 01/11/2005 YPOLITE1  
11/08/2004 ARGOTEN 00000012 502280 10735717  
01 FC:1053 130.00 CR